

STATEMENT UNDER 37 C.F.R. 3.73(b)

Applicant/Patent Owner: Empedocles et al.  
Application No: 09/827,013  
U.S. Patent No: 6,734,420  
Filed/Issue Date: May 11, 2004  
Entitled: DIFFERENTIABLE SPECTRAL BAR CODE METHODS AND SYSTEMS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Invitrogen Corporation (name of assignee), a corporation (type of organization), states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at

Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

C. ☒ A chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as shown below:

1. From: Empedocles et al. To: Quantum Dot Corporation

The document was recorded in the Patent and Trademark Office at Reel 012082,  
Frame 0506, or for which a copy thereof is attached.

2. From: Quantum Dot Corporation To: Invitrogen Corp.

The document was recorded in the Patent and Trademark Office at Reel 016862,  
Frame 0272, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_  
\_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

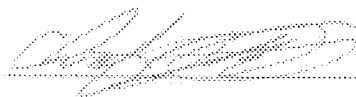
☐ Additional documents in the chain of title are listed on a supplemental  
sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e. the original assignment document or a true copy of  
the original document) must be submitted to Assignment Division in accordance  
with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO.  
See MPID\* 302-302.6]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of  
the assignee:

Signature:



Typed or printed name:

Christopher J. Buntel, Ph.D., J.D.

Title:

Intellectual Property In-House Counsel

Date:

17 JUL 06